

#### AUG 15 2002

The Honorable Joanne M. S. Brown
Legislative Secretary
I Mina Bente Sais na Liheslaturan Guåhan
Twenty-Sixth Guam Legislature
Suite 200
130 Aspinal Street
Hagåtña, Guam 96910

Dear Legislative Secretary Brown:

Enclosed please find Substitute Bill No. 217 (LS) "AN ACT TO REPEAL AND REENACT §§ 4401 AND 4403 OF TITLE 4 OF THE GUAM CODE ANNOTATED, RELATIVE TO THE JURISDICTION AND AUTHORITY OF THE CIVIL SERVICE COMMISSION" which was vetoed and overridden. This legislation is now designated as **Public Law No. 26-121**.

Very truly yours,

Carl T. C. Gutierrez I Maga Lahen Guahan Governor of Guam

Attachments: original bill for vetoed legislation or

copy of bill for signed or overridden legislation and legislation enacted without signature

cc: The Honorable Antonio R. Unpingco

Speaker

OFFICE OF THE LEASLATIVE SECRETARY

ACKNOWLEDDOWN REDCEPT

Recaived By

Time

S 2 3

Date

Date

## MINA'BENTE SAIS NA LIHESLATURAN GUAHAN 2002 (SECOND) Regular Session

## CERTIFICATION OF PASSAGE OF AN ACT TO I MAGA'LAHEN GUAHAN

This is to certify that Substitute Bill No. 217 (LS) "AN ACT TO REPEAL AND REENACT §§ 4401 AND 4403 OF TITLE 4 OF THE GUAM CODE ANNOTATED, RELATIVE TO THE JURISDICTION AND AUTHORITY OF THE CIVIL SERVICE COMMISSION," returned without approval of I Maga'lahen Guahan, was reconsidered by I Liheslaturan Guahan and after such consideration, did agree, on the 14th day of August 2002, to pass said bill notwithstanding the veto of I Maga'lahen Guahan by a vote of fifteen (15) members.

# MINA'BENTE SAIS NA LIHESLATURAN GUÅHAN 2001 (FIRST) Regular Session

## Bill No. 217 (LS)

As substituted by the Committee on Rules, General Governmental Operations, Reorganization and Reform and Federal, Foreign and General Affairs and as further amended by the Author and amended on the Floor.

Introduced by:

Mark Forbes L. F. Kasperbauer J. F. Ada

T.C. Ada

F. B. Aguon, Jr.

J. M.S. Brown

E. B. Calvo

F. P. Camacho

M. C. Charfauros

L. A. Leon Guerrero

K. S. Moylan

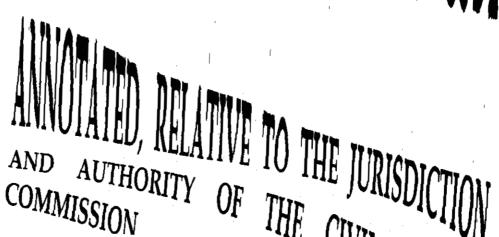
V. C. Pangelinan

A. L.G. Santos

A. R. Unpingco

J. T. Won Pat

AN ACT TO REPEAL AND REENACT §§ 4401 AND 4403 OF TITLE 4 OF THE GUAN CODE





#### AUG 15 2002

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OFFICE OF THE ACKNOY Received 9 Time

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Attacts de	ANTONIO R. UNPINGCO Speaker
JOANNE M.S. BROWN Senator and Legislative Secretary	
This Act was received by I Maga'lahen Guaha ato'clockM.	an this 1516 day of August, 2002,  Assistant Staff Officer  Maga'lahi's Office

Public Law No.	26-121	
rudiic Law No.	20 121	

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AN ACT TO REPEAL AND REENACT §§ 4401 AND 4403 OF TITLE 4 OF THE GUAM CODE ANNOTATED, RELATIVE TO THE JURISDICTION AND AUTHORITY OF THE CIVIL SERVICE COMMISSION.

#### 1 BE IT ENACTED BY THE PEOPLE OF GUAM:

- 2 Section 1. Legislative Findings and Intent. I Liheslaturan Guåhan
- 3 finds that the Civil Service Commission ("Commission") has erroneously

1 determined that it was granted jurisdiction to act to hear appeals of persons

2 not within the classified service of the government of Guam, that being

persons who obtained employment by means other than having been hired

through the competitive hiring procedures established under the personnel

5 rules of the government of Guam.

The Commission has heard appeals of persons who claim that they were demoted or dismissed from employment of the government of Guam who were at the time of the termination *not* persons who had been hired to the classified service through the competitive hiring practices of the personnel rules of the government of Guam, despite the prohibition of such actions as set forth in § 4403(b) of Title 4 of the Guam Code Annotated, as this Section applies to §§ 4403(d) and 4406 of Title 4 of the Guam Code Annotated.

In particular, the Commission has heard appeals of persons whose employment was not in the classified service of the government of Guam and whose employment ended as a result of the position not being funded by the fiscal year October 1, 2001 to September 30, 2002, budget. The Commission has ignored the laws of Guam in so hearing such appeals and I Liheslaturan Guåhan finds it beneficial to the People of Guam for I Liheslaturan Guåhan to clarify the law so that the Commission will not perpetuate its mistake and continue to hear appeals from persons who were not hired through competitive hiring practices as set forth in the personnel rules of the government of Guam.

**Section 2.** Section 4403 of Article 4, Chapter 4 of Title 4 of the Guam Code Annotated is hereby *repealed and reenacted* to read as follows:

"Section 4403. Duties of the Commission. The Commission has the following duties, powers and responsibilities:

- (a) it shall provide by rules standards relating to position classification, creation of new classified positions or classes of positions, as provided in § 6210 of this Title, and as required for positions in the other branches of the government as such positions are placed within the jurisdiction of the Commission;
- (b) it shall hear appeals from the adverse actions taken to suspend, demote or dismiss an employee from the classified service if such right of appeal to the Commission is established in the personnel rules governing the employee; however, it may not hear any appeal of an action taken to suspend, demote or dismiss an employee of the government of Guam who has not been hired through the competitive hiring procedures of the personnel rules of the government of Guam, as such personnel rules required at the time of the hiring of the employee, nor any unclassified employee;
- (c) it shall investigate conditions of government employment as it deems necessary, and report findings and recommendations to *I Maga'lahen Guåhan* and *I Liheslaturan Guåhan* annually;
- (d) it may set aside and declare null and void any personnel action taken by any entity of the government under its jurisdiction when it has found that such action was taken without

compliance with personnel laws or rules; provided, however, that this Section shall *not* be deemed to permit appeals by employees from adverse actions *not* covered by Subsection (b), above, which employee was *not* hired into the classified service of the government of Guam through competitive hiring as set forth in the personnel rules of the government of Guam, as those personnel rules existed at the time of the hiring of the employee by the government, *except* that the Commission may declare null and void the hiring of any unclassified employee done improperly, or reduce the compensation of any unclassified employee improperly compensated;

- (e) it shall review the salaries of positions in the unclassified service, including judges and elected officials and recommend adjustments to *I Liheslaturan Guåhan* on an annual basis; provided, that the Commission shall only recommend salary adjustments for unclassified employees to *I Liheslaturan Guåhan* and has no authority to order, compel or effect increases in salary or compensation for unclassified employees;
- (f) administer, as provided in law and in Executive Orders, the Equal Employment Opportunity Programs of the government;
- (g) the provisions of this Section shall *not* apply to the Judiciary or *I Liheslaturan Guåhan* in compliance with the doctrine of Separation of Powers, *unless* such separate Branch opts to make

them applicable by submitting to the jurisdiction of the Commission; and all reference to classified employees will be deemed to mean classified employees of the Executive Branch, including agencies and authorities; and

(h) the jurisdiction of the Commission shall *not* extend to academic personnel of the Guam Community College and the University of Guam, *except* upon mutual consent by the governing board of the respective institution and the Commission, nor to any position or person, appeal or proceeding of whatever kind or description *if* the position is denominated *'unclassified'* in this Title, *except* to the extent explicitly permitted in this Section, nor shall such jurisdiction extend to the determination of whether it is practicable to place a position in the classified service."

**Section 3.** Section 2 of Chapter III of Public Law Number 26-35 is hereby *repealed and reenacted* to read as follows:

"Section 2. Appropriation for Government Claims Fund. The sum of Two Hundred Fifty Thousand Dollars (\$250,000.00) is hereby appropriated from the General Fund for Fiscal Year 2002 to the Government Claims Fund under the Department of Administration ('DOA') for the payment of eligible and approved Government Claims.

Notwithstanding any other provision of law, no money from the Government Claims Fund, whether appropriated herein or otherwise, shall be used to pay any claim, *except* upon order of a trial and/or appellate court after reviewing and ruling upon the substantive merits

of Civil Service Commission's decision, arising from decisions rendered by the Civil Service Commission subsequent to September 30, 2001 which attempted to classify previously unclassified positions, or reinstate a position eliminated by the General Appropriations Act of 2002 or its subsequent amendments."

Section 4. AG to Defend Government of Guam's Interests. The Office of the Attorney General, Department of Law, shall defend the government of Guam's legal interests as stated in Guam statutory law, including, but not limited to, the classified and unclassified personnel classifications and the General Appropriations Act of 2002, as elicited in Public Law Number 26-35, and its subsequent amendments. The Office of the Attorney General shall appeal in Court any decision of the Civil Service Commission ("Commission"), or seek the appropriate writs of prohibition or other legal recourses, against any decision of the Commission subsequent to September 30, 2001 which attempts to classify any unclassified position. The Office of the Attorney General shall also defend against and not settle any attempt to compel the payment of government funds to reinstate or settle claims by previously unclassified employees who were given classified status by the Commission subsequent to September 30, 2001.

- **Section 5.** Section 4102(d) is hereby *added* to Article 1, Chapter 4 of Title 4 of the Guam Code Annotated to read as follows:
  - "(d) Notwithstanding any other provision of law, there shall be no other personnel classifications in the government of Guam, other than the classified and unclassified services as set forth in this Section.

The Commission shall *not* create any unclassified positions nor hear any appeal in that regard."

Section 6. Severability. If any provision of this Act or its application to any person or circumstance is found to be invalid or contrary to law, such invalidity shall *not* affect other provisions or applications of this Act which can be given effect without the invalid provisions or application, and to

this end the provisions of this Act are severable.

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## I MINA' BENTE SAIS NA LIHESLATURAN GUAHAN

2002 (SECOND) Regular Session

Date: 8/14/62

#### **VOTING SHEET**

Vetoes  Sill No. <u>3/7 (45)</u> Resolution No  Question:					
<u>NAME</u>	YEAS	<u>NAYS</u>	NOT VOTING/ ABSTAINED	OUT DURING ROLL CALL	ABSENT
ADA, Joseph F.					
ADA, Thomas C.	/				
AGUON, Frank B., Jr.					
BROWN, Joanne M. S.					
CALVO, Eddie B.	V				·
CAMACHO, Felix P. /	V				
CHARFAUROS, Mark C.	V				
FORBES, Mark					
KASPERBAUER, Lawrence F.					
LEON GUERRERO, Lourdes A.					
MOYLAN, Kaleo S.					,
PANGELINAN, Vicente C.					
SANTOS, Angel L.G.					<u>.</u>
UNPINGCO, Antonio R.	V				
WON PAT, Judith T.	V				· · · · · ·
TOTAL	15	0	_ 0_	0	0
CERTIFIED TRUE AND CORRECT:					
			4	t 3 Passes = No	vote
Clerk of the Legislature	EA = Excused Absence				

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Over 100 2

#### MINA'BENTE SAIS NA LIHESLATURAN GUÅHAN 2001 (FIRST) Regular Session

#### Bill No. 217 (LS)

As substituted by the Committee on Rules, General Governmental Operations, Reorganization and Reform and Federal, Foreign and General Affairs and as further amended by the Author and amended on the Floor.

Introduced by:

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Mark Forbes

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T. C. Ada

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AN ACT TO REPEAL AND REENACT §§ 4401 AND THE **GUAM** 4403 **OF** TITLE 4 **OF** CODE ANNOTATED, RELATIVE TO THE JURISDICTION CIVIL AUTHORITY **OF** THE AND COMMISSION.

#### BE IT ENACTED BY THE PEOPLE OF GUAM:

2 Section 1. Legislative Findings and Intent. I Liheslaturan Guåhan

3 finds that the Civil Service Commission ("Commission") has erroneously

determined that it was granted jurisdiction to act to hear appeals of persons
not within the classified service of the government of Guam, that being
persons who obtained employment by means other than having been hired
through the competitive hiring procedures established under the personnel
rules of the government of Guam.

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In particular, the Commission has heard appeals of persons whose employment was *not* in the classified service of the government of Guam and whose employment ended as a result of the position *not* being funded by the fiscal year October 1, 2001 to September 30, 2002, budget. The Commission has ignored the laws of Guam in so hearing such appeals and *I Liheslaturan Guåhan* finds it beneficial to the People of Guam for *I Liheslaturan Guåhan* to clarify the law so that the Commission will *not* perpetuate its mistake and continue to hear appeals from persons who were *not* hired through competitive hiring practices as set forth in the personnel rules of the government of Guam.

**Section 2.** Section 4403 of Article 4, Chapter 4 of Title 4 of the Guam Code Annotated is hereby *repealed and reenacted* to read as follows:

"Section 4403. Duties of the Commission. The Commission has the following duties, powers and responsibilities:

- (a) it shall provide by rules standards relating to position classification, creation of new classified positions or classes of positions, as provided in § 6210 of this Title, and as required for positions in the other branches of the government as such positions are placed within the jurisdiction of the Commission;
- (b) it shall hear appeals from the adverse actions taken to suspend, demote or dismiss an employee from the classified service *if* such right of appeal to the Commission is established in the personnel rules governing the employee; *however*, it may *not* hear any appeal of an action taken to suspend, demote or dismiss an employee of the government of Guam who has *not* been hired through the competitive hiring procedures of the personnel rules of the government of Guam, as such personnel rules required at the time of the hiring of the employee, nor any unclassified employee;
- (c) it shall investigate conditions of government employment as it deems necessary, and report findings and recommendations to *I Maga'lahen Guåhan* and *I Liheslaturan Guåhan* annually;
- (d) it may set aside and declare null and void any personnel action taken by any entity of the government under its jurisdiction when it has found that such action was taken without

compliance with personnel laws or rules; provided, however, that this Section shall *not* be deemed to permit appeals by employees from adverse actions *not* covered by Subsection (b), above, which employee was *not* hired into the classified service of the government of Guam through competitive hiring as set forth in the personnel rules of the government of Guam, as those personnel rules existed at the time of the hiring of the employee by the government, *except* that the Commission may declare null and void the hiring of any unclassified employee done improperly, or reduce the compensation of any unclassified employee improperly compensated;

- (e) it shall review the salaries of positions in the unclassified service, including judges and elected officials and recommend adjustments to *I Liheslaturan Guåhan* on an annual basis; provided, that the Commission shall only recommend salary adjustments for unclassified employees to *I Liheslaturan Guåhan* and has no authority to order, compel or effect increases in salary or compensation for unclassified employees;
- (f) administer, as provided in law and in Executive Orders, the Equal Employment Opportunity Programs of the government;
- (g) the provisions of this Section shall *not* apply to the Judiciary or *I Liheslaturan Guåhan* in compliance with the doctrine of Separation of Powers, *unless* such separate Branch opts to make

them applicable by submitting to the jurisdiction of the Commission; and all reference to classified employees will be deemed to mean classified employees of the Executive Branch, including agencies and authorities; and

(h) the jurisdiction of the Commission shall *not* extend to academic personnel of the Guam Community College and the University of Guam, *except* upon mutual consent by the governing board of the respective institution and the Commission, nor to any position or person, appeal or proceeding of whatever kind or description *if* the position is denominated *'unclassified'* in this Title, *except* to the extent explicitly permitted in this Section, nor shall such jurisdiction extend to the determination of whether it is practicable to place a position in the classified service."

**Section 3.** Section 2 of Chapter III of Public Law Number 26-35 is hereby *repealed and reenacted* to read as follows:

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of Civil Service Commission's decision, arising from decisions rendered by the Civil Service Commission subsequent to September 30, 2001 which attempted to classify previously unclassified positions, or reinstate a position eliminated by the General Appropriations Act of 2002 or its subsequent amendments."

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Section 4. AG to Defend Government of Guam's Interests. The 6 Office of the Attorney General, Department of Law, shall defend the 7 government of Guam's legal interests as stated in Guam statutory law, 8 including, but not limited to, the classified and unclassified personnel 9 classifications and the General Appropriations Act of 2002, as elicited in 10 Public Law Number 26-35, and its subsequent amendments. The Office of the 11 Attorney General shall appeal in Court any decision of the Civil Service 12 Commission ("Commission"), or seek the appropriate writs of prohibition or 13 14 other legal recourses, against any decision of the Commission subsequent to 15 September 30, 2001 which attempts to classify any unclassified position. The 16 Office of the Attorney General shall also defend against and not settle any attempt to compel the payment of government funds to reinstate or settle 17 18 claims by previously unclassified employees who were given classified status 19 by the Commission *subsequent* to September 30, 2001.

**Section 5.** Section 4102(d) is hereby *added* to Article 1, Chapter 4 of Title 4 of the Guam Code Annotated to read as follows:

"(d) Notwithstanding any other provision of law, there shall be no other personnel classifications in the government of Guam, other than the classified and unclassified services as set forth in this Section. 2.0



8/14/02

GOVERNOR OF GUAM

DEC 26 2001

The Honorable Joanne M. S. Brown
Legislative Secretary
I Mina Bente Sais na Liheslaturan Guåhan
Twenty-Sixth Guam Legislature
Suite 200
130 Aspinal Street
Hagåtña, Guam 96910

OFFICE OF	THE LEGISLATIVE SECRETARY
ACK	NOWLEDGMENT RECEIN,
Receive	d By
Time	2:44pm
Date_	12.26.01

Dear Legislative Secretary Brown:

Enclosed please find Substitute Bill No. 217 (LS) "AN ACT TO REPEAL AND REENACT §§ 4401 AND 4403 OF TITLE 4 OF THE GUAM CODE ANNOTATED, RELATIVE TO THE JURISDICTION AND AUTHORITY OF THE CIVIL SERVICE COMMISSION" which was I have **vetoed**.

This legislation directly interferes into the realm of both the Executive Branch and the Judicial Branch of government. While members of the Legislature may agree or disagree with recent decisions of the Civil Service Commission, passing laws to interfere in the executive and judicial processes of ongoing cases violates the separation of powers needed for a democratic government based on the principles of the United States Constitution to function.

The legislative findings and intent section of this legislation makes statements that pass judgment on the actions of the Civil Service Commission. This is the realm of the courts.

The amendments contained in this legislation are inconsistent in that for some purposes, the Civil Service Commission may take an action relative to the employment of an unclassified employee, and for some other purposes, it may not. As a matter of fact, for any judicial body, or quasi-judicial body such as the Civil Service Commission, that body must make the decision whether or not the body has or does not have jurisdiction to consider the matter before it. Even under the terminology contained in this legislation, the CSC must go into the facts of the employee's employment situation, thereby taking jurisdiction, in order to decide necessary issues at hand.

The sentence beginning on line 22 of Page 3 and ending on line 11 of Page 4 is so convoluted and cumbersome that a number of different interpretations can be made of the verbiage.

Legislative Secretary SB217;veto December, 2001 Page 2

Direct attempts to interfere into ongoing cases by the Legislature is inappropriate and violative of the separation of powers concept. This only further erodes our democratic 3-branch form of government.

Very truly yours,

Carl T. C. Gutierrez I Maga'Lahen Guåhan Governor of Guam

Attachments: original bill for vetoed legislation or

copy of bill for signed or overridden legislation and legislation enacted without signature

cc: The Honorable Antonio R. Unpingco

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Attested:

JOANNE M.S. BROWN
Senator and Legislative Secretary

This Act was received by I Maga'lahen Guåhan this / 44 day of December, 2001, at / 40 o'clock / M.

Assistant Staff Officer
Maga'lahi's Office

CARLIT. C. GUTIERREZ
I Maga'lahen Guåhan

Date:

Public Law



DEC 26 2001

The Honorable Joanne M. S. Brown
Legislative Secretary
I Mina Bente Sais na Liheslaturan Guåhan
Twenty-Sixth Guam Legislature
Suite 200
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**"Section 4403. Duties of the Commission**. The Commission has the following duties, powers and responsibilities:

- (a) it shall provide by rules standards relating to position classification, creation of new classified positions or classes of positions, as provided in § 6210 of this Title, and as required for positions in the other branches of the government as such positions are placed within the jurisdiction of the Commission;
- (b) it shall hear appeals from the adverse actions taken to suspend, demote or dismiss an employee from the classified service *if* such right of appeal to the Commission is established in the personnel rules governing the employee; *however*, it may *not* hear any appeal of an action taken to suspend, demote or dismiss an employee of the government of Guam who has *not* been hired through the competitive hiring procedures of the personnel rules of the government of Guam, as such personnel rules required at the time of the hiring of the employee, nor any unclassified employee;
- (c) it shall investigate conditions of government employment as it deems necessary, and report findings and recommendations to *I Maga'lahen Guåhan* and *I Liheslaturan Guåhan* annually;
- (d) it may set aside and declare null and void any personnel action taken by any entity of the government under its jurisdiction when it has found that such action was taken without

compliance with personnel laws or rules; provided, however, that this Section shall *not* be deemed to permit appeals by employees from adverse actions *not* covered by Subsection (b), above, which employee was *not* hired into the classified service of the government of Guam through competitive hiring as set forth in the personnel rules of the government of Guam, as those personnel rules existed at the time of the hiring of the employee by the government, *except* that the Commission may declare null and void the hiring of any unclassified employee done improperly, or reduce the compensation of any unclassified employee improperly compensated;

- (e) it shall review the salaries of positions in the unclassified service, including judges and elected officials and recommend adjustments to *I Liheslaturan Guåhan* on an annual basis; *provided*, that the Commission shall *only* recommend salary adjustments for unclassified employees to *I Liheslaturan Guåhan* and has no authority to order, compel or effect increases in salary or compensation for unclassified employees;
- (f) administer, as provided in law and in Executive Orders, the Equal Employment Opportunity Programs of the government;
- (g) the provisions of this Section shall *not* apply to the Judiciary or *I Liheslaturan Guåhan* in compliance with the doctrine of Separation of Powers, *unless* such separate Branch opts to make

them applicable by submitting to the jurisdiction of the Commission; and all reference to classified employees will be deemed to mean classified employees of the Executive Branch, including agencies and authorities; and

(h) the jurisdiction of the Commission shall *not* extend to academic personnel of the Guam Community College and the University of Guam, *except* upon mutual consent by the governing board of the respective institution and the Commission, nor to any position or person, appeal or proceeding of whatever kind or description *if* the position is denominated *'unclassified'* in this Title, *except* to the extent explicitly permitted in this Section, nor shall such jurisdiction extend to the determination of whether it is practicable to place a position in the classified service."

**Section 3.** Section 2 of Chapter III of Public Law Number 26-35 is hereby *repealed and reenacted* to read as follows:

"Section 2. Appropriation for Government Claims Fund. The sum of Two Hundred Fifty Thousand Dollars (\$250,000.00) is hereby appropriated from the General Fund for Fiscal Year 2002 to the Government Claims Fund under the Department of Administration ('DOA') for the payment of eligible and approved Government Claims.

Notwithstanding any other provision of law, no money from the Government Claims Fund, whether appropriated herein or otherwise, shall be used to pay any claim, *except* upon order of a trial and/or appellate court after reviewing and ruling upon the substantive merits

The Commission shall not create any unclassified positions nor hear any 1 2 appeal in that regard." 3 If any provision of this Act or its Section 6. Severability. application to any person or circumstance is found to be invalid or contrary to 4 law, such invalidity shall not affect other provisions or applications of this Act 5 6 which can be given effect without the invalid provisions or application, and to 7

this end the provisions of this Act are severable.

# I MINA' BENTE SAIS NA LIHESLATURAN GUAHAN 2002 (SECOND) Regular Session Date: 3/13/13

**VOTING SHEET** 

Bill NoResolution No. <u>2/7 (COR)</u> Question:	k	and	Count		
NAME	YEAS	NAYS	NOT VOTING/ ABSTAINED	OUT DURING ROLL CALL	ABSENT
DA, Joseph F.					
DA, Thomas C.					
GUON, Frank B., Jr.					
ROWN, Joanne M. S.					
ALVO, Eddie B.					
AMACHO, Felix P.					
HARFAUROS, Mark C.					
ORBES, Mark					
ASPERBAUER, Lawrence F.					
EON GUERRERO, Lourdes A.	1				-
OYLAN, Kaleo S.					
ANGELINAN, Vicente C.					
ANTOS, Angel L.G.					
NPINGCO, Antonio R.					
ON PAT, Judith T.					
TOTAL					
CERTIFIED TRUE AND CORRECT:			<del>,</del>	<b>.</b>	
Clerk of the Legislature			E	3 Passes = No A = Excused A	

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# I MINA' BENTE SAIS NA LIHESLATURAN GUAHAN

2001 (FIRST) Regular Session

Date: 12/7/01

#### **VOTING SHEET**

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5 Bill No. <u>217 (</u> 45)							
Resolution No.	NETO						
Question:			V				
				<del></del>			
NAME	YEAS	NAYS	NOT VOTING <u>/</u> ABSTAINED	OUT DURING ROLL CALL	ABSENT		
ADA, Joseph F.							
ADA, Thomas C.	V						
AGUON, Frank B., Jr.	V						
BROWN, Joanne M. S.							
CALVO, Eddie B.	V						
CAMACHO, Felix P. //							
CHARFAUROS, Mark C.							
FORBES, Mark	V						
KASPERBAUER, Lawrence F.							
LEON GUERRERO, Lourdes A.	V						
MOYLAN, Kaleo S.	V						
PANGELINAN, Vicente C.							
SANTOS, Angel L.G.	V						
UNPINGCO, Antonio R.							
WON PAT, Judith T.					V		
TOTAL	14	0	0	0			
CERTIFIED TRUE AND CORRECT:							
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Clerk of the Legislature				3 Passes = No A = Excused Ab			

## MINA JENTE SAIS NA LIHL LATURAN GUÅHAN

Kumitehan Areklamento, Hinanao Gubetnamenton Hiniråt, Rifotma yan Rinueba, yan Asunton Fidiråt, Taotao Hiyong yan Hiniråt

> Senadot Mark Forbes, Gehilu Kabisiyon Mayurdt

29 NOV 2001

Speaker Antonio R. Unpingco I Mina' Bente Sais Na Liheslaturan Guåhan 155 Hesler Street Hagåtña, Guam 96910

Dear Mr. Speaker:

The Committee on Rules, General Governmental Operations, Reorganization and Reform, and Federal, Foreign and General Affairs, to which Bill No. 217, was referred, wishes to report its findings and recommendations **TO DO PASS BILL NO. 217**, as substituted: "An act to clarify the grant of jurisdiction to the Civil Service Commission under Title 4 Guam Code Annotated Chapter 4, Sections 4403 and 4401, Relative to hearing the appeals of any person employed by the Government of Guam".

The voting record is as follows:

TO PASS	<u> </u>
NOT TO PASS	<u> </u>
ABSTAIN	
TO PLACE IN INACTIVE FILE	<u></u>
TO REPORT OUT	-

Copies of the Committee Report and other pertinent documents are attached. Thank you and si Yu'os ma'ase for your attention to this matter.

MARK FORBES

Attachments



#### ENTE SAIS NA LIHE LATURAN GUÅHAN MINA'

Kumitehan Areklamento, Hinanao Gubetnamenton Hinirat, Rifotma yan Rinueba, van Asunton Fidiråt, Taotao Hiyong yan Hiniråt

> Senadot Mark Forbes. Gebilu Kabisiyon Mayurat

2 9 NOV 2001

#### **MEMORANDUM**

TO:

Committee Members

FROM:

Chairman

SUBJECT:

Committee Report- BILL NO. 217, as substituted: "An act to clarify the grant of jurisdiction to the Civil Service Commission under Title 4 Guam Code Annotated Chapter 4, Sections 4403 and 4401, Relative to hearing the appeals of any person

employed by the Government of Guam."

Transmitted herewith for your information and action is the report on Bill No. 217, as substituted, from the Committee on Rules, General Governmental Operations, Reorganization and Reform, and Federal, Foreign and General Affairs.

This memorandum is accompanied by the following:

- Committee Voting Sheet 1.
- 2. Committee Report
- 3. Bill No. 217, as substituted
- Public Hearing Sign-in Sheet 4
- Fiscal Note/Fiscal Note Waiver 5.
- Notice of Public Hearing 6.

Please take the appropriate action on the attached voting sheet. Your attention and cooperation in this matter is greatly appreciated.

Should you have any questions regarding the report or accompanying documents, please do not hesitate to contact me.

Thank you and si Yu'os ma'ase.

MÁRK FORBES

**Attachments** 

# Committee on Rules, General Governmental Operations, Reorganization and Reform, and Federal, Foreign and General Affairs

#### I Mina' Bente Sais Na Liheslaturan Guåhan

**Voting Record** 

BILL NO. 217, as substituted: "An act to Title 4 Guam Code Annotated Chapter 4.	, Sections 440	ant of jurisdiction and 4401, Rela	on to the Civil S ative to hearing	ervice Commis the appeals of a	any person
employed by the Government of Guam."		NOT TO		INACTIVE	TO REPORT
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MARK FORBES Chairman					
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Joseph F. Ada, Member					
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Joanne M.S. Brown, Member	<del></del>		·		
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Eddie B. Calvo, Member	<del></del>				
Felix P. Camacho, Member	~				
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Lawrence F. Kasperbauer, Ph. D., Me	ember				
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Kaleø S. Moylan, Member	j				
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Antonio R. Unpingco, Ex-Officio Men	nber				
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Thomas C. Ada, Member					
Lord Co					
Lou A. Leon Guerrero, Member					
Vicente C. Pangelinan, Member					

#### I MINA' BENTE SAIS NA LIHESLATURAN GUÅHAN

# COMMITTEE ON RULES, GENERAL GOVERNMENTAL OPERATIONS, REORGANIZATION AND REFORM, AND FEDERAL, FOREIGN AND GENERAL AFFAIRS

#### SENATOR MARK FORBES, CHAIRMAN

# COMMITTEE REPORT ON BILL NO. 217, as substituted

"AN ACT TO CLARIFY THE GRANT OF JURISDICTION TO THE CIVIL SERVICE COMMISSION UNDER TITLE 4 GUAM CODE ANNOTATED CHAPTER 4, SECTIONS 4403 AND 4401, RELATIVE TO HEARING THE APPEALS OF ANY PERSON EMPLOYED BY THE GOVERNMENT OF GUAM."

#### I. OVERVIEW

The Committee on Rules, General Governmental Operations, Reorganization and Reform, and Federal, Foreign and General Affairs held a public hearing at 10:00 a.m. on November 16, 2001 in the Public Hearing Room, I Liheslaturan Guahan. An emergency waiver was given for the requirement for public notice, pursuant to Public Law No. 24-109.

Senators present at the public hearing were:

Senator Mark Forbes, Chairman Senator Joanne Brown, Member Senator Tom Ada, Member Senator Lou Leon Guerrero, Member Senator Ben Pangelinan, Member

#### II. SUMMARY OF TESTIMONY

Individuals appearing before the Committee to present oral and written testimony on the bill are as follows:

Joe T. San Agustin, former Speaker, Guam Legislature (Oral and written testimony) Luis Baza, Executive Director, Civil Service Commission (Oral testimony)

Joe T. San Agustin, Chairman of the Democratic Party and former Speaker of the Guam Legislature, testified before the Committee in favor of Bill No. 217. He discussed the history of GovGuam employment and the classified system. The merit system was created to attract qualified workers and to protect them once they were in the job. The Civil Service Commission was created as an umbrella organization that workers could make appeals to, even those in autonomous GovGuam agencies. The CSC was designed for classified employees, never for unclassified. He mentioned that even classified employees already in a position would have to compete for that position if the qualifications and requirements of the position were changed. He disagrees with the recent actions of the CSC (to reinstate unclassified employees who were laid off by the budget act), but he added that even unclassified employees should be laid off gradually, with notice.

Sen. Forbes asked if San Agustin thinks that directors, deputy directors or the Governor's chief of staff require two months notice, and San Agustin replied no, not even two minutes.

San Agustin said that undermining the non-political merit system is dangerous, because if the employees become political, they'll provide service and selective enforcement based on political preference. He said the merit system is becoming a sham, and if the Legislature doesn't correct it now, it'll never regain the confidence in the merit system.

Sen. Leon Guerrero asked San Agustin about the Haueser decision and how the CSC is using it to change the merit system. San Agustin replied that he doesn't know what "merit protection" means, as the CSC is using the court case to mean, for unclassified employees – does it mean a layoff procedure, a lack of funds, etc.? He fears this will be used for even department heads.

Luis Baza, executive director of the Civil Service Commission, testified before the Committee on Bill No. 217. He stated that his mission is to service all GovGuam employees, and that he can't discriminate (if they ask him for information or training). He said that if an unclassified employee is hired in violation of the uniform employment law, this bill would prevent the CSC from taking action.

#### III. FINDINGS AND RECOMMENDATION

The Committee on Rules, General Governmental Operations, Reorganization and Reform, and Federal, Foreign and General Affairs finds that Bill No. 217, as substituted, is necessary to clarify that the merit system is intended to protect only classified employees, in light of recent actions by the Civil Service Commission to reinstate unclassified employees. These recent actions threaten to destroy the entire GovGuam merit system, and could do further harm to the basic services provided by the government.

Accordingly, the Committee on Rules, General Governmental Operations, Reorganization and Reform, and Federal, Foreign and General Affairs, to which Bill No. 217 was referred does hereby submit its findings and recommendations to I Mina' Bente Sais Na Liheslaturan Guahan **TO DO PASS BILL NO. 217, as substituted**, "An act to clarify the grant of jurisdiction to the Civil Service Commission under Title 4 Guam Code Annotated Chapter 4, Sections 4403 and 4401, Relative to hearing the appeals of any person employed by the Government of Guam."



### MINA ' PENTE SAIS NA LIHES' ATURAN GUÅHAN

Kumitehan Areklamento, Hinanao Gubetnamenton Hinirat, Rifotma yan Rinueba, yan Asunton Fidirat, Taotao Hiyong yan Hinirat

> Senadot Mark Forbes, Gebilu Kabisiyon Mayurat

06 NOV 2001

#### **MEMORANDUM**

TO:

Chairman

Committee on Rules, General Governmental Operations, Reorganization and

Reform, and Federal, Foreign and General Affairs

FROM:

Chairman

Committee on Rules, General Governmental Operations, Reorganization and

Reform, and Federal, Foreign and General Affairs

SUBJECT:

Principal Referral - Bill No. 217 (LS)

The above bill is referred to your Committee as the Principal Committee, in accordance with Section 6.04.05.01. of the Standing Rules. Your Committee is the Committee authorized to perform the public hearing on this bill and to amend or substitute the bill, as well as report the bill out to the Body. It is recommended that you schedule a public hearing at your earliest convenience.

Thank you for your attention to this matter.

MARK FORBES

Attachment

## MINA'BENTE SAIS NA LIHESLATURAN GUAHAN 2001 (FIRST) Regular Session

Bill No. 217 (LS)
As Substituted by the Committee

1

Introduced by:	Mark Forbes

AN **ACT** TO CLARIFY THE GRANT OF SERVICE CIVIL **IURISDICTION** TO THE TITLE 4 GUAM CODE COMMISSION UNDER ANNOTATED CHAPTER 4, SECTIONS 4403 AND 4401. RELATIVE TO HEARING THE APPEALS OF ANY PERSON EMPLOYED BY THE GOVERNMENT OF GUAM.

#### BE IT ENACTED BY THE PEOPLE OF GUAM:

2 Section 1. Legislative Findings and Intent. I Guahan Liheslaturan 3 finds that the Civil Service Commission has erroneously determined that it 4 was granted jurisdiction to act to hear appeals of persons not within the 5 classified service of the government of Guam, that being persons who 6 obtained employment by means other than having been hired through the 7 competitive hiring procedures established under the personnel rules of the 8 government of Guam.

The Civil Service Commission has heard appeals of persons who claim that they were demoted or dismissed from employment of the government of Guam who were at the time of the termination not persons who had been hired to the classified service through the competitive hiring practices of the personnel rules of the government of Guam despite the prohibition of such actions as set forth in Title 4 of Guam Code Annotated Chapter 4, Section 4403 (b), as this Section applies to Title 4 of Guam Code Annotated Chapter 4, Sections 4403 (d) and 4406.

In particular, the Civil Service Commission has heard appeals of persons whose employment was not in the classified service of the government of Guam and whose employment ended as a result of the position not being funded by the fiscal year October 1, 2001 to September 30, 2002, budget. The Civil Service Commission has ignored the laws of Guam in so hearing such appeals and *I Liheslaturan Guahan* finds it beneficial to the people of Guam for *I Liheslaturan Guahan* to clarify the law so that the Civil Service Commission will not perpetuate its mistake and continue to hear appeals from persons who were not hired through competitive hiring practices as set forth in the personnel rules of government of Guam.

**Section 2.** Title 4 Guam Code Annotated, Chapter 4, Section 4403 is hereby repealed and reenacted to read as follows:

"§4403. Duties of the Commission.

The Commission has the following duties, powers and responsibilities:

(a) It shall provide by rules standards relating to position classification, creation of new positions or classes of positions, as provided in §6210 of this Title, and as required for positions in the other branches of the Government as such positions are placed within the jurisdiction of the Commission;

- (b) It shall hear appeals from the adverse actions taken to suspend, demote or dismiss an employee from the classified service if such right of appeal to the Commission is established in the personnel rules governing the employee; however it may not hear any appeal of an action taken to suspend, demote or dismiss an employee of the government of Guam who has not been hired through the competitive hiring procedures of the personnel rules of the government of Guam as such personnel rules required at the time of the hiring of the employee;
- (c) It shall investigate conditions of government employment as it deems necessary and report findings and recommendations to the Governor and Legislature annually;
- (d) It may set aside and declare null and void any personnel action taken by any entity of the Government under its jurisdiction when it has found that such action was taken without compliance with personnel laws or rules, provided, however, that this Section shall not be deemed to permit appeals by employees from adverse actions not covered by Subsection (b), above, which employee was not hired into the classified service of the Government of Guam through competitive hiring as set forth in the personnel rules of the government of Guam as

- those personnel rules existed at the time of the hiring of the employee by the government, except that the commission may declare null and void the hiring of any unclassified employee done improperly, or reduce the compensation of any unclassified employee improperly compensated;
- (e) It shall review the salaries of positions in the unclassified service, including judges and elected officials and recommend adjustments to the Legislature on an annual basis;
- (f) Administer, as provided in law and in Executive Orders, the Equal Employment Opportunity Programs of the Government;
- (g) The provisions of this Section shall not apply to the Judiciary or Legislature in compliance with the doctrine of separation of powers unless such separate branch opts to make them applicable by submitting to the jurisdiction of the Civil Service Commission. All reference to classified employees will be deemed to mean classified employees of the Executive Branch including agencies and authorities.
- (h) The jurisdiction of the Civil Service Commission shall not extend to academic personnel of the Guam Community College and the University of Guam, except upon mutual consent by the governing board of the respective institution and the commission."
- **Section 3**. Title 4 Guam Code Annotated, Chapter 4, Section 4401 is hereby repealed and reenacted to read as follows:
- 23 "§4401. Civil Service Commission.

(a) There is established, in and for the government of Guam, a

Civil Service Commission composed of seven (7) members appointed by the Speaker of the Guam Legislature. The members shall serve for a term of six (6) years and until their successors are appointed, provided that of the initial members appointed under this Chapter, appointments shall be made so that two members of the Commission, newly appointed shall serve for two (2) years, two members, newly appointed shall serve for a term of four (4) years and three members, newly appointed shall serve for a term of six (6) years. Two (2) members of the Commission shall be classified employees of the government of Guam selected by the Speaker of the Guam Legislature from among the pool of classified employees of the government of Guam who have been certified as to have received and completed training in government of Guam personnel grievance procedures. In the event that a grievance or challenge is heard by the Civil Service Commission which involves an employee of the same government of Guam department, agency or instrumentality in which one or more of the members of the Commission are employed, that member or members who are employed in the same department, agency or instrumentality of the government of Guam as the employee either appealing to or having a grievance heard by the Commission shall be recused on such matter and the balance of the Commission may temporarily, by majority vote, select a classified employee to serve temporarily as a Commission member exclusively for the purpose of that appeal or grievance, provided such temporary member is not similarly in a position requiring recusal

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pursuant to this subsection.

- (b) When a vacancy occurs, the Speaker shall appoint a new member within sixty (60) days of the commencement of the vacancy.
- (c) The Speaker may remove members of the Civil Service Commission but only for conviction of a crime constituting a felony or a misdemeanor involving moral turpitude, willful misconduct in office, willful and persistent failure to perform the duties of office, or any conduct which is prejudicial to the administration of the merit system of the government of Guam. Nothing in this Section shall require the reappointment of any member. A person sought to be removed may challenge the decision by an action for declaratory judgment in the Superior Court.
- (d) Notwithstanding any other provision of law, the members of the Civil Service Commission sitting at the date of enactment of this Chapter shall cease to serve as members of the Civil Service Commission and shall be rendered unable to perform any of the dutires or functions of members of the Civil Service Commission.
- (e) Civil Service Commissioners, shall, without limitation, each receive Fifty Dollars (\$50.00) for every meeting attended to adjudicate appeals, complaints, grievances or other disputes, or to perform rule-making functions as provided in statute, rule or regulation."

#### Committee on Rules, General Governmental Operations, Reorganization & Reform, and Federal, Foreign & General Affairs Senator Mark Forbes, Chairman

Public Hearing
November 16, 2001
10:00 A.M.
I Liheslaturan Guahan, Hagåtña

Bill No. 217: Relative to hearing the appeals of any person employed by the Government of Guam".

NAME	AGENCY/	ORAL TESTIMONY	WRITTEN TESTIMONY	IN	NOT IN	CONTACT
(please print)	ORGANIZATION	TESTIMON	TESTIMONT	FAVOR	FAVOR	NUMBER
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JUAN CALUD						4575-1300
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# Committee on Rules, General Governmental Operations, Reorganization & Reform, and Federal, Foreign & General Affairs Senator Mark Forbes, Chairman

COL IVISIA TOLDES, C.I.
Public Hearing
November 16, 2001

10:00 A.M. I Liheslaturan Guahan, Hagatia

Bill No. 217: Relative to hearing the appeals of any person employed by the Government of Guam".

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Mr. Chairman and Members of this Committee.

At the outset, permit me to extend my appreciation for the opportunity to share my views and thoughts regarding the State of the Merit System as being employed within the Government of Guam. And the vehicle being used now to display such state of affairs in the proposed Bill 217.

At the outset, let me address the historical background of the Government of Guam Civil Service Commission before perhaps we can appreciate, if you will, the urgent need to response to what appears to be a threat to the Merit System for Government of Guam Employees as envisioned by our elected leaders from the First Guam Legislature thru the Ninth Guam Legislature.

I have with me a copy of the late Governor Guerrero 's letter, dated July 6, 1967 to the Ninth Guam Legislature requesting the introduction of a Bill to establish the Civil Service Commission, as Bill No. 308 and became Public Law 9-86 on August 7, 1967. (Said copy was obtained from the University of Guam and the Guam Public Library)

The establishment of the Civil Service Commission was prompted after a realizations that employees in the newly created Guam Memorial Hospital Authority would have no "appeals" process beyond the Hospital Board of Directors. The Personnel Board then was for employees in the non-autonomous agencies. With the creation of the Civil Service commission to act as the umbrella organization, the Personnel Board was abolished.

Within the last few weeks, I had submitted two letters to the Pacific Daily News Editor regarding my views and I shall like to include them as part of testimony today.

For the record, I shall like to read them.

I want to once again thank you for the opportunity to appear before, you and I would answer any questions pose to me by any member of this Committee.

Joe T. San Agustin November 16, 2001 I AM CONCERN WITH THE FUTURE IMPACT OF THE CIVIL SERVICE COMMISSION'S DECISION REGARDING THE REINSTATEMENT OF MR. RON DEVERISH. FIRST, I WOULD LIKE TO STATE THAT MY COMMENTS BELOW ARE MADE, WITHOUT DISPUTING THE QUALIFICATIONS AND EXPERIENCES OF MR. RON DEVERISH OR FOR THAT MATTER, ATTORNEY HOUSER IN HIS COURT CASE SEVERAL YEARS AGO.

LET ME FIRST ESTABLISH SOME BASIC FRAMEWORK.

THE MERIT SYSTEM FUNDAMENTALLY CONSIST OF THREE BASIC COMPONENTS:

- 1. THE POSITION HAS TO BE ESTABLISHED BY THE CSC OR SOME STATUTE THAT THE POSITION SHALL BE IN THE "CLASSIFIED POSITION"
- 2. THE ESTABLISHED POSITION HAS A PRESCRIBED JOB DESCRIPTIONS, ESTABLISHED SALARY PAY RANGE AND DOLLAR AMOUNT, STATING THE NECESSARY QUALIFICATIONS FOR ANY APPLICANT TO MEET
- 3. THE POSITION HAS TO BE FILLED THROUGH THE COMPETITIVE PROCESS-- THAT IS THE JOB HAS TO BE ADVERTISED, A LIST OF QUALIFIED APPLICANTS WOULD BE ESTABLISHED, AND AMONG THESE QUALIFIED APPLICANTS, THROUGH THE INTERVIEW PROCESS, A SELECTION WOULD BE MADE BY THE APPOINTING AUTHORITY, I.E. THE DEPARTMENT HEAD, ETC.

NOW THE "UNCLASSIFIED POSITION" IS ESTABLISHED AND AUTHORIZED BY LAW ALLOWING CERTAIN AREAS DEEMED TO BE "POLITICALLY- SENSITIVE", SUCH AS THE POSITIONS IN THE LEGISLATURE AND THE GOVERNOR'S IMMEDIATE STAFF. FOR EACH DEPARTMENT, IT HAS BEEN ESTABLISHED THAT THE DIRECTOR, THE DEPUTY DIRECTOR AND DIRECTOR'S PRIVATE SECRETARY WILL ALL BE IN THE "UNCLASSIFIED" SERVICE.

GIVEN THE ABOVE, ONE WONDER WHY DO WE HAVE SO MANY "UNCLASSIFIED" EMPLOYEES?

BOTH PAST AND CURRENT ADMINISTRATIONS HAVE EXTREME RECRUITMENT DIFFICULTIES IN FILLING POSITIONS IN THE "CLASSIFIED" AREAS.

THESE DIFFICULTIES CONSISTED PRIMARILY OF BEING UNABLE TO FIND QUALIFIED APPLICANTS BECAUSE OF THE "SALARY OR COMPENSATION AS ESTABLISHED BY EITHER LAW OR CSC", NOTWITHSTANDING THE POLITICAL DESIRES TO SELECT A PARTICULAR INDIVIDUAL.

UNDER THE CSC RULES, ANY DEPARTMENT HEAD MAY ASK THE CSC TO HIRE A QUALIFIED APPLICANT, THRU THE COMPETITIVE PROCESS, ABOVE THE INITIAL SALARY STEP, CITING RECRUITMENT DIFFICULTIES. IF CSC APPROVES THE REQUEST, THE EMPLOYEE IS THEN HIRED, AT A HIGHER PAY RANGE STEP, AND STILL BE IN THE "CLASSIFIED SERVICE"

HOWEVER, WHAT HAS DEVELOPED OVER THE YEARS, "EMPLOYEES" GET HIRED WITHOUT GOING THRU THE "MERIT SYSTEM PROCESS", OCCUPYING POSITIONS THAT ARE IN THE "CLASSIFIED SERVICE". THESE "UNCLASSIFIED EMPLOYEES" DID NOT

COMPETE FOR THEIR JOBS NOR WERE THE POSITIONS EVER ADVERTISED TO ALLOW OTHER APPLICANTS TO APPLY, NOTWITHSTANDING THE FACT THAT MANY OF THESE EMPLOYEES MAY OR MAY NOT HAVE THE NECESSARY QUALIFICATIONS FOR THE POSITIONS THEY OCCUPIED.

I AM CONCERN THAT THE CSC'S DECISIONS AND THE HOUSER'S COURT CASE, GAVE MERIT SYSTEM PROTECTION THRU THE "BACKDOOR METHOD".

ONE CAN ARGUE THAT THE POSITIONS THAT WERE OR ARE IN THE "CLASSIFIED" SERVICE BEING OCCUPIED BY EMPLOYEES NOT SELECTED THRU THE MERIT SYSTEM PROCESS SHOULD REMAIN IN THE "CLASSIFIED SERVICE", BUT THE INCUMBENTS CAN NOT. THIS IS BECAUSE THESE EMPLOYEES DID NOT HAVE TO COMPETE TO OBTAIN THEIR JOBS, LIKE THE REST OF THE VAST GOVERNMENT OF GUAM EMPLOYEES. IF THE CSC RULES THAT THE POSITIONS ARE INDEED CLASSIFIED, THEN THEY SHOULD ALSO RULED THAT THE INCUMBENTS HIRED CAN NOT REMAIN UNLESS THEY ARE "GRAND FATHERED IN".

OBVIOUSLY, THESE APPEARS TO WHAT THE CSC FUNDAMENTALLY RULED AS WELL AS THE HOUSER'S CASE.

THE REAL FUNDAMENTAL QUESTION IS WHY HAVE A MERIT SYSTEM, IF A "BACKDOOR METHOD" IS TO BE TOLERATED OR CONDONED?

WHY DO WE NEED A CSC FOR? THE REAL REASON WHY THE CSC IS ESTABLISHED IS TO IMPLEMENT THE LEGISLATIVE ORGANIC ACT MANDATE THAT THERE SHALL BE A MERIT SYSTEM ESTABLISHED.

JOE T. SAN AGUSTIN 170 IGLESIA CIRCLE DEDEDO, GUAM 96929

632-5703

#### Ltr to the PDN Editor

It looks like the CSC is doing some fancy "tap-dancing" movements regarding their ill advised ruling in providing Merit Protection to employees originally hired outside the Merit System Process, citing the Houser case as the authority for them to entertain such appeals for termination..

What appears to be the application of the Houser case was the "tailoring of the position which had at birth of being classified and grown up to be unclassified" due to the inability of the Government-employer- to meet the salary demands of the prospective candidate employee. In other words, the position as designated to be classified was "plucked-out" from underneath the "umbrella of the Merit System Selection Process" in order for the hiring authority- the Government- to meet the salary demands of the designated individual. Thus, the designated individual has a position to occupy for the purposes of legally having a job descriptions specified as to what would be expected to perform; but also the legal means for compensation In this case, the designated individual/employee has "negotiated" his job with the Government agreeing to meet his salary level demands.

For the CSC under the above circumstances to rule at a latter date to provide merit protection to the Individual employee who obtained his position thru "negotiations" is once again "backdoor" method.

In other words, what we have under the Merit System is a three legged stool--underneath the top of the stool are three legs supporting. One leg represent the job descriptions for a specific functional performance; the other leg specifying what would be the necessary requirements, experiences and other qualifications, to support the "top" of the stool, along with the descriptions of the job, itself. The third leg is the "price of the other two legs" necessary to carry the load that will be placed on the "top of the stool"

Civil Service Commission's ruling allows any one of the "legs" to be dislodged just to get an individual to be placed "on top of the stool", only to find out later that all three legs will be required to support the individual hired. What CSC did was an "accommodation" at the expense of the many individuals who "sat" on the stool, having all three legs of the stool collectively withstands the "weight". These merited employees earned their rights to sit on the "stool"; thru the "application, competitive, selection process" meeting the job requirements as established. This is the strength of the Merit System that should not ever be compromised.

So no matter how the CSC "tap dance" their way, it is still "not right"

Our past Governors, Senators and other Island Leaders who are all gone would all be "moving in their graves" troubled by the Actions of the Civil Service Commission. It was predicted by our forefathers that the CSC must be "insulated" from undue influence from the powers it be, in order for the Merit System as was envisioned to be the guardian of the civil service employees to ensure that the current situation would not happen. Apparently, it was not insulated enough to prevent so many "cracks" that managed to creep in.

Senator Mark Forbes's Bill 217 should be the focal point of discussion towards getting the CSC back on track. This is certainly not the Civil Service Commission as envisioned by the late Governor Manuel Guerrero who introduced the concept to the Legislature nor is it the Commission that had as its Executive Directors, the late Mr. Sabino Flores, Eloy O'hara or now Senator Felix Camacho. Hopefully, if and when said Bill 217 ever gets to have a public hearing, the rank and file of the civil service servants of the Government of Guam would be provided an opportunity to express their views

#### Personnel Hiring Incentives as an Attraction To Work

Have you ever wondered why Government of Guam has so many perquisites in its personnel management system?

With the number of legal holidays, more than one finds in any State or Federal Government, additional compensations over the prescript salary establish by law or by the Civil Service Commission, an unusual and generous retirement benefits, including work leave benefits, shouldn't these perquisites needs to be revisited or reevaluated?

To really to understand why these perquisites exist, we need to go back to the evolution of the Government of Guam.

During the naval government eras covering from 1898-1950 (except under Japanese occupation 1941-1945), the government was more or less a caretaker unit within the Navy Department. Members of the U.S. Navy, mostly naval commissioned officers, headed every governmental unit. After WW II, the U.S. Navy resumed control of the island, and the Commander of the Pacific Fleet was the Governor of Guam. However, a Naval Commander, who for all intents and purposes was the Governor of Guam, headed the day-to-day island governmental affairs. The Government was the major employer on the island, and the work methods and procedures were mostly written in naval rules and regulations. Many of these rules and regulations in conceptual and attitudes behaviors continued to be in vogue to this date. This is why we hear so often from our elders remembering the good-all-Navy Days when everything, and I mean, everything is taken care of.

Immediately after the Guam Organic Act was passed in 1950, which created the Government of Guam as a civilian governmental entity, there was only an immediate "name change". During the period when our civilians Governors were essentially federal employees, the Governmental affairs were under continued scrutiny by the Interior Secretary. There were gradual duties and responsibilities granted to the local Legislature and every enacted legislations were understood to be subject to the review and can be "annulled" by the U.S. Congress, and for a limited time after one year. This proviso has been changed after a Circuit Court of Appeals decision regarding the tax qualifying certificates issues which ruled that the issuance of tax qualifying certificates were organic due to the U.S. Congress failure to annul such law within the year. The repeal of the Organic Act provision regarding this matter has the effect of re-establishing the close scrutiny requirements deemed necessary by the Federal Government. As I said there was an "immediate name change" of the island governmental structure—i.e. from the Navy to the Interior An example of the day-today scrutiny was the requirements for the Governor to send/or forwarded all copies of correspondence (initiated or replied by the Governor) .to the designated office within the Interior Department on a weekly basis. From time to time, depending on the urgency of the matter in questioned, the Governor would either

There was one significant step accomplished during the first term of the Gutierrez Administration. The beginning of the "direct contribution retirement system" as opposed to the "defined benefit retirement system" The "defined benefit system" which has existed from 1950 to 1995—was designed to "attract, recruit and retain" qualified local people to work for the Government. Because of the basic improvements in the personnel management system over the last forty-five years, not to mention the continuing and rising cost of the defined benefit retirement systems, Government of Guam no longer need to be the employment area of last resort. Furthermore, conversion from a "defined benefit program to a direct contribution" provides an avenue to pursue privatization of government functions, enabling employees affected under the "direct contribution" the portability of retirement benefits.

Lately, because of the Government of Guam financial situation, and in order to live within our means, perhaps, the opportunity now exists to really try to reorganize, streamline, and eliminate or reduce several "costly salary inducements", including minimizing the number of legal holidays.

The basic problem is that we continually "legislate" additional mandates, as well as require the establishment of work performance standards, without an overall and comprehensive policy direction. Once this basic policy direction is firmly established, the implementation actions can be in several phases, such as the review and evaluation of the current methods and procedures, functional reviews, determination and selection of which and what areas need to be either "outsource" or "privatize". All of these necessary steps would, of course, need the funding requirement, similar to the funding requirements to develop an "A&E" footprint. Once this "foot print" is considered and approved by all the elected leaders as a matter of law, the implementation phase can now begin.

This proposal will not, I know, answer all objections. There will be changes, but it is necessary to make a beginning. I invite your careful consideration.

Respectfully yours,

147 Mannel P.L. Guerrard MANUEL P. L. GUERRERO

Enclosure

CC: Attorney General

HWBurnett:fb

7-6-67

#### HINTH GUAN LEGISLATURE 1967 (FIRST) Regular Session

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Introduced by:

request of the Governor in accordance with Section 6(b) of the Organic Act of Gum.

AN ACT TO AMEND THE PERSONNEL AND COMPEN-SATION LAME, TO ESTABLISH A CIVIL SERVICE COMMISSION, TO PROVIDE GENERALLY APPLICABLE STANDARDS AS TO GOVERNMENT EMPLOYMENT, AND POR CIVIL PURPOSES.

Section 1. Sections 4000.1, 4000.2, 11108.1, and

49006, Government Code of Guam, are hereby repealed.

Section 2. Section 4001, Government Code of Guam,

is hereby repealed, and new Section 4001 exacted, to read as

follows:

"Section 4001. Personnel policy. Employment
in the service of the Government of Guam shall be

in the service of the Government of Gues shall be based on merit, and selection methods shall be free of personal or political considerations. All personnel actions, including appointments and premotions, shall be based, incofar as practicable, on competitive practical tests and evaluations. Continuity of employment shall be dependent upon good behavior, satisfactory performance of work, and availability of funds."

Section 3. Section 4003, Government Code, is hereby repealed, and new Section 4003 is hereby exacted to read as follows:

"Section 4003. Preference. No preference

as required by Section 9(a). Public Law 630. 1 Blat Congress, and except that residents of Guess 2 who are veterans of the Armed Forces of the United States, or members of the Guan Police Combat Patrol, as certified by the Director of 3 Public Sefety, shall receive a preferential gradit of five points which shall be added to 7 their competitive examination score." 8 Section 4. Sections 4004, 4005, and 4006, Government 9 Code of Guam, are hereby repealed. 10 Section 5. New Section 4004, Government Code, is 11 hereby enacted to read as follows: 12 "Section 4004. Departmental Personnel 13 Rules. Rules and regulations, subject to LA criteria established by this Chapter governing 15 selection, promotion, performence evaluation, 16 denotion, suspension and other disciplinary 17 action, shall be adopted by the Board of 18 Education, the Board of Regents of the College 19 of Gues, and by the Board of Trustees of Gues 20 Memorial Mospital, with respect to personnel 21 metters within their respective agencies or 22 departments, and by the Director of Labor and 23 24 Personnel as to all other government employment. 25 Such regulations shall, to the extent practicable, 26 provide standard conditions for entry into, and 27 other matters concerning the government service. 28 and shall take effect upon their approval by

Executive Order of the Governor."

The second secon

Section 6. Now Section 4005, Government Code, is hereby exected to read as follows:

"Section 4005. Givil Service Commission. There is hereby established, in and for the Government of Guam, a Givil Service Commission, ecospesed of seven members, appelated by the Government, with the sensent of the Legislature. Numbers shall include representatives of the Board of Education, the Board of Engents of the College of Guam, the Board of Erustees of the Guam Memorial Hospital, and the Director of Labor and Personnel, and shall serve for a term of three years. The Commission is authorized to employ its own clarical staff and shall be provided such further logistic support as it may require by the Bureau of Management Research."

Section 7. New Section 4006, Government Code, is

hereby enacted to read as follows:

"Section 6006. Same: duties. The
Gommission shall provide by rule for all
matters relating to position classification,
creation of new positions or classes of
positions as provided in Section 4107,
reassignment of positions or classes of
positions pursuant to Section 4108, and for
appeals to the Commission of administrative
ention to suspend or dismiss an employee in
the classified service. It shall have the
suthority to conduct such investigations into

necessary, and shall report its findings and recommendations to the Governor and Legislature annually."

Section 8. Section 4007, Covernment Code, is hereby repealed, and a new Section 4007 is hereby exacted to read as follows:

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"Section 4007. Personnel Rules. The personnel rules provided for by Section 4004 shall provide procedures for the employment of persons on the basis of merit, and shall include an orderly and systematic method of recruitment and the establishment of qualified lists for employment purposes. They shall provide for a probationary period of not less than three nor more than twelve months for all original and promotional appointments, during which time the employee may be dismissed at any time without right of speed. Specific procedures and policies shall be included governing the following: (1) The announcement of vacancies and acceptance of applications for employment; (2) preparation and conduct of examinations: (3) establishment and use of employment lists containing names of persons eligible for employment: (A) establishment of promotional policies; (5) certification of employment of persons from employment lists to fill vecencies and the making of temporary and emergency appointments; (6) evalvation of the work of employees including those

premotion, and reinstatement of employees in the 1 competitive service; (8) separation from the 2 pervise of employees by resignation, layoff, 3 suspension, dismissel, and for incapacity to perform required detice; (9) establishment of 5 hours of work, attendance and leave regulations, and working conditions; (10) development of 7 employee morale, welfere, and training; (11) rules soverning the outside employment of territorial 9 comployees: (12) maintenance and use of necessary 10 records and forms; (13) a uniform system of 11 handling all grievences; and (14) such other 12 13 matters as may be necessary to properly carry out the intent and surpose of this Chapter. Such 14 rules shall take effect upon their approval by 15 the Governor by Essentive Order." 16 Section 9. Section 4010. Government Code, is hereby 17 18 mended to read as follows: "Section 4010, Removed Disciplinary Action. 19 (a) Each of the following shell constitute cause 20 for discipline of an employee, which may be 21 displacel, engageion, demotion or other source-22 prists action: (1) Frend in securing appointment: 23 (2) incompetence: (3) inefficiency: (4) inerconseble 24 neglect of duty: (5) insubordination: (6) dishon-25 esty: (7) drunkenness on duty: (8) intemperance: 26 27 (9) addiction to the use of percetics or 28 habit-forming drugg: (10) incremeable absence

without leaves (11) conviction of a felony or

(12) discourteque trestment of the mblic or 1 other emigrace; (11) impreser political activity: (14) willful Hashedlennes (15) misses of somethe 3 ant accounts: (16) refusel to take and aspectibe or eath or affirmation which is remired by last 5 in competing with his amigramets (17) other failure of good behavior either during or cutaids of duty hours which is of such a nature that it causes discredit to his second or his employment. (b) He person in the classified service of 10 the government of Quan shall be weseved dismissed 11 therefrom except for such cause as will promote 12 the efficiency of said service and for the 13 reseas given in writing, and the person whose 14 removed disclosed is sought shall have notice of 15 the same and of any charges preferred against 16 him, and be furnished with a copy thereof, and 17 also be allowed a reasonable time for personally 18 ensuring the semain writing and affidevits in 19 support thereof; provided, however, that this 20 section shall not apply to persons whose employ-21 ment is purely temporary, sessonal, intermittent, 21 23 part-time, or only for a specific project. (c) The Civil Service Consission shall have 26 25 jurisdiction of appeals from dismissal or 26 enemenator without pay for not less then 15 days. 27 invisdiction of other disciplinary patters rests with the rule-making authority specified in 28

Section 4004.

Section 10. Section 4012, Government Code, is hereby repealed, and a new Section 4012 is hereby exacted to read as follows:

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"Marties 4012. Aspeals. As employee who is dismissed or ensounded without pay for not less than 15 days shall be given immediate notice of the action, together with a specific statement of the charges upon which such action is based. Copies thereof shall be filed with the Civil Service Commission not later than the working day next following the effective date of the action. The employee may, within 20 days of service of notice, appeal to the Commission by filing his written enswer to the charges against him. The Commission shall them set the matter for hearing as expeditiously as practicable. The employee or his representative shall be given the opportunity to inspect any documents relevant to the action which would be admissible in evidence at the hearing, and to interview other employees having knowledge of the acts or oxissions upon which the dismissal or suspension is based. The Countagion may gustain, medify or revoke the action taken. Its decision shall be final."

Section 11. Section 4107, Government Code, is amended to read as follows:

"Section 4107. New positions and classes: creation. New positions or classes of positions

1	functions of the government. Such positions
2	and classes of positions shall be submitted to
3	the Personnel-Board Civil Service Commission
4	within thirty (30) days and shall be terminated
5	unless approved by the Jersennel-Board Civil
6	Berrice Commission within sixty (60) days
7	thereafter. This section, however, shall not
8	apply to any and all positions specifically
9	required by law to be confirmed by the Gum
LO	Legislature."
LI.	Section 12. Section 4108, Government Code, is hereby
12	emended to read as follows:
13	"Section 4108. Ressignments. Positions
l <b>A</b>	er classes of positions may be reassignable to
L5	other pay ranges by action of the Governor when
1.6	necessary to the efficient performance of the
17	duties and functions of the Government. Such
18	reassignments shall be schultted to the Personnel
19	Board Civil Service Commission within thirty (30)
20	days and shall be terminated unless approved by
21	the Fergennel-Beard Civil Service Commission
22	within sixty (60) days thereafter. This section,
23	however, shall not apply to any and all positions
24	specifically required by law to be confirmed by
25	the Guam Legislature."
23 24	

# TURAN GUPHAN MINAN MINAN

## MINA' ENTE SAIS NA LIHECT ATURAN GUÅHAN

Kumitehan Areklamento, Hinanao Gubetnamenton Hinirat, Rifotma yan Rinueba, yan Asunton Fidirat, Taotao Hiyong yan Hinirat

> Senadot Mark Forbes, Gebilu Kabisiyon Mayurat

#### WAIVER OF FISCAL NOTE

In accordance with §9105 Title 2 GCA, I hereby certify that prompt committee action on Bill 217 is necessary to the proper conduct of legislative business. Therefore, I am waiving requirement of a fiscal note on Bill 217.

MARK FORBES

Chairman,

Committee on Rules, General Governmental Operations, Reorganization and Reform, and Federal, Foreign and General Operations

#### MINA'BENTE SAIS NA LIHESLATURAN GUÅHAN 2001 (FIRST) Regular Session

Bill No.	217	(15	)
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Introduced by:

Mark Forbes

AN ACT TO CLARIFY THE GRANT OF JURISDICTION TO THE CIVIL SERVICE COMMISSION UNDER TITLE 4 GUAM CODE ANNOTATED CHAPTER 4, SECTIONS 4403 AND 4406, RELATIVE TO HEARING THE APPEALS OF ANY PERSON EMPLOYED BY THE GOVERNMENT OF GUAM.

- 1 BE IT ENACTED BY THE PEOPLE OF GUAM:
- 2 Section 1. Legislative Findings and Intent. I Liheslaturan Guåhan finds that the
- 3 Civil Service Commission has erroneously determined that it was granted jurisdiction to
- 4 act to hear appeals of persons not within the classifieds service of the government of
- 5 Guam, that being persons who obtained employment by means other than having been
- 6 hired through the competitive hiring procedures established under the personnel rules of
- 7 the government of Guam.
- 8 The Civil Service Commission has heard appeals of persons who claim that they
- 9 were demoted or dismissed from employment of the government of Guam who were at the
- 10 time of the termination not persons who had been hired into the classified service through
- 11 the competitive hiring practices of the personnel rules of the government of Guam despite
- 12 the prohibition of such actions as set forth in Title 4 of Guam Code Annotated Chapter 4,
- 13 Section 4403 (b), as this Section applies to Title 4 Guam Code Annotated, Chapter 4,
- 14 Sections 4403(d) and 4406.

In particular, the Civil Service Commission has heard appeals of persons whose 1 employment was not in the classified service of the government of Guam and whose 2 employment ended as a result of the position not being funded by the fiscal year October 3 1, 2001 to September 30, 2002, budget. The Civil Service Commission has ignored the 4 laws of Guam in so hearing such appeals and I Liheslaturan Guåhan finds it beneficial to 5 6 the people of Guam for I Liheslaturan Guåhan to clarify the law so that the Civil Service Commission will not perpetuate its mistake and continue to hear appeals from persons 7 8 who were not hired through competitive hiring practices as set forth in the personnel 9 rules of government of Guam. 10 Section 2. Title 4 Guam Code Annotated, Chapter 4, Section 4403 is hereby 11 repealed and reenacted to read as follows: 12 §4403. Duties of the Commission. 13 The Commission has the following duties, powers and responsibilities: 14 It shall provide by rules standards relating to position classification, creation of (a) 15 new positions or classes of positions, as provided in §6210 of this Title, and as required 16 for positions in the other branches of the Government as such positions are placed within 17 the jurisdiction of the Commission; 18 *(b)* It shall hear appeals from the adverse actions taken to suspend, demote or dismiss 19 an employee from the classified service if such right of appeal to the Commission is 20 established in the personnel rules governing the employee, however it may not hear any 21 appeal of an action taken to suspend, demote or dismiss an employee of the government 22 of Guam who has not been hired through the competitive hiring procedures of the

- 1 personnel rules of the government of Guam as such personnel rules required at the time
- 2 of the hiring of the employee;
- 3 (c) It shall investigate conditions of government employment as it deems necessary
- 4 and report findings and recommendations to the Governor and Legislature annually;
- 5 (d) It may set aside and declare null and void any personnel action taken by any
- 6 entity of the Government under its jurisdiction when it has found that such action was
- 7 taken without compliance with personnel laws or rules, provided, however, that this
- 8 Section shall not be deemed to permit appeals by employees from adverse actions not
- 9 covered by Subsection (b), above, which employee was not hired into the classified
- service of the Government of Guam through competitive hiring as set forth in the
- personnel rules of the government of Guam as those personnel rules existed at the time of
- 12 the hiring of the employee by the government.;
- 13 (e) It shall review the salaries of positions in the unclassified service, including
- 14 judges and elected official and recommend adjustments to the Legislature on an annual
- 15 basis;
- 16 (f) Administer, as provided in law and in Executive Orders, the Equal Employment
- 17 Opportunity Programs of the Government.
- 18 (g) The provisions of this Section shall not apply to the Judiciary or Legislature in
- 19 compliance with the doctrine of separation of powers unless such separate branch opts to
- 20 make them applicable by submitting to the jurisdiction of the Civil Service Commission.
- 21 All reference to classified employees will be deemed to mean classified employees of the
- 22 Executive Branch including agencies and authorities.

- 1 (h) The jurisdiction of the Civil Service Commission shall not extend to academic
- 2 personnel of the Guam Community College and the University of Guam, except upon
- 3 mutual consent by the governing board of the respective institutions and the commission